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MEMORANDUM

TO: Standardbred Canada
Ontario Harness Horse Association
Northern Horse Association
National Capital Region Harness Horse
Standardbred Raceways
Race Secretaries

FROM: Terry Stone
Director of Racing

RE: STANDARDBRED RULE CHANGES

DATE: December 21, 2005

Enclosed are the Standardbred rules changes submitted for 2006 for you review. Please send any comments to the undersigned, in writing, by January 20, 2006.

Terry Stone
Director of Racing

CHAPTER 2

DEFINITIONS

Old Rule 2.31

Maiden means a horse that has never won a heat or race at the gait at which it is entered to start, and for which a purse is offered. Races or purse money awarded to a horse after the official sign has been posted shall not be considered winning performance or affect the status of a maiden. Should a Maiden finish first in a race for which a purse is offered and is subsequently disqualified it shall not lose its maiden classification.

Proposed New Rule 2.31

Maiden means a horse that has never won a heat or race at the gait at which it is entered to start, and for which a purse is offered. Races or purse money awarded to a horse after the official sign has been posted shall not be considered winning performance or affect the status of a maiden. Should a Maiden finish first in a race for which a purse is offered and is subsequently disqualified it **will** lose its maiden classification.

Proposed by: Security Committee

Reason for Change: To be consistent with Standardbred Canada and U.S.T.A.

New Rule 2.40 Paddock

The area horses are housed for the purpose of racing, including qualifying races and include the walking ring and access to raceway.

The Paddock is a secure area a minimum of two hours or four and half hours if the Association participates in the E.I.P.H. program before the start of the first race and either a minimum of one hour after the completion of the last race or until the last horse is delivered to the test area.

During qualifying races the Paddock is a secure area a minimum of one hour before the start of the first qualifying race until completion of the last qualifying race.

Proposed by: Security Committee

Reason for Change: To bring in line with the thoroughbred rules

Old Rule 2.47

Raceway means a race track located in the Province of Ontario conducted Standardbred racing and classified and licensed annually by the Commission

Proposed New Rule 2.47

Racetrack means a racetrack located in the Province of Ontario, and licensed by the Racing Commission.

Proposed by: Security Committee

Reason for Change: To be consistent with current definitions

Old Rule 2.54

Stable area includes, but is not limited to those places within the property of an association wherein the stables, track kitchen, racing offices, training areas, paddock and winner's enclosure are located.

Rule 2.54 To be deleted

Proposed by: Security Committee

Reason for Change Due to new definition proposed by Security Committee

Proposed New Rule 2.50

Restricted Area

Includes, but is not limited to those buildings/places within the property of an association wherein the stabling area, training areas, paddock areas and areas used to support racing are located.

The restricted area is a secure area a minimum of two hours or four and half hours if the Association participates in the E.I.P.H. program, before the start of the first race and either a minimum of one hour after the completion of the last race or until the last horse is **delivered** to the test area.

Proposed by: Security Committee

CHAPTER 3

LICENCES

Old Rule 3.07.1

Each licensee:

- a) shall have in his or her possession a valid licence issued to the licensee whenever the licensee is:
 - i) in the stable area of the Association, or
 - ii) acting in a capacity for which a licence is required; and
- b) shall produce his or her licence upon request by an authorized representative of the Association, a racing official or a representative or member of the Commission.

Proposed New Rule 3.07.1

Each licensee:

- a) shall have in his or her possession a valid licence issued to the licensee whenever the licensee is:
 - i) in the **restricted** area of the Association, or
 - ii) acting in a capacity for which a licence is required; and
- b) shall produce his or her licence upon request by an authorized representative of the Association, a racing official or a representative or member of the Commission.

c) all Security and Pari-Mutuel personnel shall display their licenses while working in that capacity.

Proposed by: Security Committee

Reason for Change: To be consistent with restricted area definition

Old Rule 3.07.2

The Association shall ensure that no individual enters or is permitted to enter the stable area of the Association unless that individual:

- a) has in his or her possession a valid licence issued to that individual, or
- b) is a guest of a licensee who has in his or her possession a valid licence issued to that licensee and the guest is signed-in by that licensee and the Association has issued to the guest a "guest pass" approved of by the Judges which the

guest is obliged to have in his or her possession while in the stable area of the Association and to produce to an authorized representative of the Association, a racing official or a representative or member of the Commission.

Any Association that fails to comply with this rule, after notice from the Executive Director, shall be subject to a fine.

Proposed New Rule 3.07.2

The Association shall ensure that no individual enters or is permitted to enter the restricted area of the Association unless that individual:

- a) has in his or her possession a valid licence issued to that individual, or
- b) is a guest of a licensee who has in his or her possession a valid licence issued to that licensee and the guest is signed-in by that licensee and the Association has issued to the guest a "guest pass" which the guest is obliged to have in his or her possession while in the restricted area of the Association and to produce to an authorized representative of the Association, a racing official or a representative or member of the Commission.

Any Association that fails to comply with this rule, after **written** notice from the Executive Director, shall be subject to a fine.

Proposed by: Security Committee

Reason for Change: To have written notice by Director

Old Rule 3.07.3

Any person who fails or refuses to produce upon request by an authorized representative of the Association, a racing official or a representative or member of the Commission his or her valid licence or a guest pass issued to him or her pursuant to Rule 3.07.2 shall be subject to a fine and/or suspension by the Judges in the case of a licensee or to removal from the premises by the Association in the case of a guest.

Proposed New Rule 3.07.3

Any person who fails or refuses to produce upon request by an authorized representative of the Association, a racing official or a representative or member of the Commission his or her valid licence or a guest pass issued to him or her pursuant to Rule 3.07.2 **may** be subject to a fine and/or suspension and removal from the premises under the direction of the Judges in the case of a licensee or

to removal from the premises by the Association in the case of a guest. **The licensee shall be responsible for the conduct of their guest(s).**

Proposed by: Security Committee

Reason for Change: "shall" has been changed to "may"

CHAPTER 5

JUDGES AND RACING OFFICIALS

Old Rule 5.02.01

At a non-extended race meeting, there shall be three Judges employed by the holder of a permit to conduct pari-mutuel betting, at least one of whom shall be accredited as a judge by Standardbred Canada. A judge who is accredited shall be designated by the holder of the permit as the presiding judge for the meeting. The Judges shall carry out the duties and responsibilities specified in the Rules and Regulations of Standardbred Canada, as amended from time to time. All decisions of the Judges shall be in accordance with the Rules and Regulations of Standardbred Canada.

Rule 5.02.01 – To be deleted

Proposed by: Administration

Reason for Change: Ontario Racing Commission not responsible for officiating at non-extended race meetings

CHAPTER 7

RACING ASSOCIATIONS

Old Rule 7.01

Associations conducting extended meetings shall provide a paddock which shall be policed and records maintained of all persons entering and **leaving**. The paddock must be constructed and policed so as to exclude unauthorized persons. Associations failing to comply with this rule, after notice from the Executive Director, shall be subject to a fine.

Proposed New Rule 7.01

Associations conducting extended meetings shall provide a paddock which shall be **secured** and records maintained of all persons entering. The paddock must be constructed and **secured** so as to exclude unauthorized persons. Associations failing to comply with this rule, after written notice from the Executive Director, shall be subject to a fine.

Paddock records are not required during qualifying races.

Proposed by: Security Committee

Reason for Change: To be consistent with restricted area definition

New Rule 7.10.01

An association shall have emergency lights that shall be activated in the event of an emergency or accident on the Racetrack.

Proposed by: Administration

Reason for Change: Drivers can decelerate safely and take action to exit from the track at the first safe opportunity knowing that their owner and trainer will be best served by having an uninjured horse and a pay cheque and there is less risk that a driver or rescuer would be injured by ongoing competition.

Old Rule 7.12

An association shall provide security of the grounds acceptable to the Commission during the period for which the race dates have been granted.

Proposed New Rule 7.12

An association shall provide security of the grounds acceptable to the Commission **and in accordance with their submitted security plan during the period for which the race dates have been granted including the time leading up to and after racing in which horses are on the grounds of the association for the purpose of racing.**

Each Association shall secure its grounds at all times in such a manner as to prevent the admission of any person in the restricted areas excepting those having valid business or duly licensed by the Commission.

Proposed by: Security Committee

Reason for Change: To be consistent with restricted area definition

Old Rule 7.13

Any association allowing a suspended, disqualified or excluded person or horse to participate in racing after notice from the Executive Director, shall be subject to a fine not exceeding \$500 for each offence.

Proposed New Rule 7.13

Any association allowing a suspended, disqualified or excluded person or horse to participate in racing after written notice from the Executive Director, shall be subject **to a fine for each offence.**

Proposed by: Security Committee

Reason for Change: To be consistent with restricted area definition

Old Rule 7.14

An association during the hours of racing should not permit any unlicensed children to be admitted to the stable area unless in the custody of an adult and such children must remain in the custody of an adult at all times while in the stable area.

Proposed New Rule 7.14

An association **shall not permit any unlicensed children to be admitted to the restricted area unless in the custody and control of a licenced adult and signed in as a guest by the Association. Such children must remain in the custody and control of the licensed adult at all times while in the restricted area.**

Proposed by: Security Committee

Reason for Change: to allow unlicensed children to be admitted to the restricted area unless in the custody and control of a licenced adult and signed in as a guest by the Association. Such children must remain in the custody and control of the licensed adult at all times while in the restricted area.

CHAPTER 11

HORSES PERMITTED TO RACE

Old Rule 11.01(f)

A horse shall not be eligible to be declared in to race at any raceway unless:

(f) not more than 45 clear days prior to the time of closing of declarations, the horse was credited with a clean charted past performance line obtained in a purse, qualifying or schooling race conducted at an extended meeting.

Proposed New Rule 11.01(f)

A horse shall not be eligible to be declared in to race at any raceway unless:

(f) not more than **30** clear days prior to the time of closing of declarations, the horse was credited with a clean charted past performance line obtained in a purse, qualifying or schooling race conducted at an extended meeting.

Proposed by: Judges

Reason for Change:

CHAPTER 12

QUALIFYING

Old Rule 12.09

A horse making a break in or off a qualifying, matinee or schooling race must qualify again unless the break was an equipment break or caused by interference, or unless the horse is declaring into an Ontario Sires Stakes or other added money event.

Proposed New Rule 12.09

A horse making a break in or off a qualifying, matinee or schooling race must qualify again unless the break was an equipment break or caused by interference.

Proposed by: Security Committee

Reason for Change:

Old Rule 12.10.02

A horse making a break off a qualifier or its second consecutive break in a row in an elimination heat will be allowed to race in the final.

New Rule 12.10.02

A horse making its second break in a row in an elimination heat will be allowed to race in the final.

Proposed by: Judges

Reasons for Change: To go back to old eligible qualifying standards and to improve horse racing

CHAPTER 15

CLAIMING RACES

Old Rule 15.02

Registration certificate in current ownership, together with the application for transfer thereon duly endorsed by all registered owners, must be filed in the office of the race secretary for all horses claimed within a reasonable time after the race from which the horse was claimed. (See Rule 15.19)

Proposed New Rule 15.02

Unless a horse is on the electronic registration system, the registration certificate in current ownership must be filed with the race secretary for all horses claimed **within forty-eight (48) hours after the** race from which the horse was claimed. **All horses claimed out of a claiming race will automatically be put on electronic registration by Standardbred Canada.** (See Rule 15.19)

Proposed by: Standardbred Canada

Reasons for Change: to update current rules for current practice

Old Rule 15.21

Mares and fillies who are in foal are ineligible to claiming races. If a claimant determines within 48 hours that a claimed filly or mare is in foal, he/she may, at their option, return the horse to the original owner.

Proposed New Rule 15.21

Mares and fillies **that** are in foal are ineligible to claiming races. **Where a mare is claimed out of a claiming race and subsequently proves to be in foal from a breeding which occurred prior to the race from which she was claimed, the claim may be voided by the Judges at the option of the claimant provided the mare is subjected to a pregnancy examination within eighteen (18) days of the date of the claim, and is found to be pregnant as a result of that pregnancy examination. A claimant seeking to void the claim must file a petition to void the claim with the Judges within ten (10) days after the pregnancy examination and shall thereafter be heard by the Judges after due notice of the hearing to the parties concerned.**

Proposed by: Standardbred Canada

Reasons for Change: Protect someone from claiming a horse that is in foal

CHAPTER 17

DECLARATIONS AND DRAWING OF POST POSITIONS

Old Rule 17.11

Not more than two horses may be drawn as also eligibles, except for races on which triactor wagering is conducted, in which case more than two also eligibles are permitted, in accordance with the following provisions:

Proposed New Rule 17.11

Not more than two horses may be drawn as also eligibles, except for races on which **triator and superfecta, etc.** wagering is conducted, in which case more than two also eligibles are permitted, in accordance with the following provisions:

Proposed by: Administration

Reason for Change: Current rule out of date

CHAPTER 18

PLACING AND MONEY DISTRIBUTION

Old Rule 18.08.03

If a horse is disqualified as the result of being ineligible to a race or as the result of a positive test, the finishing positions of that horse and all other starters in such race shall remain unchanged, but purse monies shall be adjusted accordingly.

Proposed Rule 18.08.03

If a horse is disqualified as the result of being ineligible to a race or as the result of a positive test, the finishing positions of that horse and all other starters in such race shall remain unchanged, but purse monies shall be adjusted accordingly **and the horse ruled ineligible will also lose its time.**

When a horse is disqualified as a result of being ineligible or as a result of a positive test, it shall lose the money and winning record and its summary should be adjusted accordingly.

Proposed by: Standardbred Canada

Reason for Change: At the present time, it is Standardbred Canada's and ORC's policy to retain the finishing position and win record, but delete the earnings when a horse is disqualified because of it being ineligible. The United States Trotting Association policy is that the horse who is ineligible, or has a positive test, loses both the money and the win record. We agree with the USTA and seek your acceptance of same.

CHAPTER 22

RACING RULES

Old Rule 22.21.04

Use of bent shaft sulkies in any race is prohibited. Only conventional sulkies will be permitted to be used.

Proposed New Rule 22.21.04

Use of bent shaft sulkies in any race is prohibited. Only **USTA approved** conventional sulkies will be permitted to be used.

Proposed by: Standardbred Canada

Reason for Change: Update to current practice

CHAPTER 25

DRIVERS

Old Rule 25.04

Drivers must wear distinguishing colours, and shall not be permitted to drive in a race or other public performance unless, in the opinion of the judges, they are well groomed and properly attired in clean driving outfits. During inclement weather conditions, drivers must wear rain suits made in their colours or made of a transparent material through which their colours can be distinguished. No person may use more than one design at any one time.

Proposed New Rule 25.04

Drivers must wear distinguishing colours, and shall not be permitted to drive in a race or other public performance unless, in the opinion of the judges, they are well groomed and properly attired in clean driving outfits. During inclement weather conditions, drivers must wear rain suits made in their colours or made of a transparent material through which their colours can be distinguished. No person may use more than one design at any one time. **Any sponsorship advertisements or promotional messages attached to the drivers colours must be approved by the Association conducting the race meeting and such approval would not be unreasonably withheld.**

Proposed by: Standardbred Canada

Drivers must wear distinguishing colours, and shall not be permitted to drive in a race or other public performance unless, in the opinion of the judges, they are well groomed and properly attired in clean driving outfits. During inclement weather conditions, drivers must wear rain suits made in their colours or made of a transparent material through which their colours can be distinguished. No person may use more than one design at any one time. **A driver must register his driving colours and logo with the Commission. No driver may register more than one design, and no two designs may be registered the same. The Commission shall settle any and all disputes as to the rights to particular designs. Company logos, trademarks or other advertising insignias may be displayed on a driver's colours provided the driver is properly authorized by the entity owning the logo. Each logo or trademark shall not exceed twenty-five square inches in size, or its equivalence in centimeters. All logos and trademarks must be in good taste.**

Proposed New Rule

Drivers must wear an ORC approved safety vest in a manner consistent with the manufacturers recommendation in all Races.

Proposed by: Woodbine Entertainment Group

Reason for Change: Thoroughbred racing rules require jockeys to wear a safety vest during racing and training. In dealing with liability concerns of insurance companies, it is difficult to present the risk to Standardbred trainers and drivers as substantially less than thoroughbred riders are. The ORC are working closely with racetracks to ensure safety of participants is of the highest priority. To take a position that safety vests are not required is out of step with the direction the ORC is taking.

CHAPTER 32

Paddock Judge and Equipment Inspector

Old Rule 32.01

The paddock judge shall:

- (a) under the direction and supervision of the judges, have complete charge of all paddock activities;
- (b) get the fields on the track for post parades in accordance with the schedule given by the judges;
- (c) inspect horses for changes in equipment, broken or faulty equipment, head numbers and saddle pads;
- (d) be responsible for the equipment inspector who shall keep a record of the equipment and harness worn by every horse racing at the meeting. The equipment and harness worn by every horse shall be checked in the paddock against such records before each race. All changes from the previous race shall be noted and the judges notified if a written authorization on the prescribed form is not presented for any change of equipment;
- (e) supervise paddock security guards;
- (f) check horses and drivers in and out;
- (g) direct the activities of the paddock blacksmith;
- (h) immediately notify the judges of anything that could in any way change, delay or otherwise affect the racing program;
- (i) see that only properly authorized persons are permitted in the paddock;
- (j) supervise the identification of horses in the race which shall include verifying the tattoo or freeze brand number;
- (k) inspect and supervise the maintenance of all emergency equipment kept in the paddock;
- (l) notify the judges of the reason for any horse returning to the paddock after having entered the track for the post parade and before the start of the race;
- (m) notify the judges of all drivers, trainers and grooms who leave the paddock in an emergency;
- (n) supervise and maintain cleanliness of the paddock;
- (o) supervise the conduct of all participants in the paddock and report any rule violations or abuses to the judges;
- (p) report any observed cruelty to a horse;
- (q) submit all paddock reports and attendance records to the judges on request. Such reports and records must be retained for twelve months; and
- (r) ensure that all entrances and exits to the race track are closed before the starter calls the field to the post.

Proposed New Rule 32.01

The paddock judge shall:

(a) under the direction and supervision of the judges, have complete charge of all paddock activities;

(b) get the fields on the track for post parades in accordance with the schedule given by the judges;

(c) inspect horses for changes in equipment, broken or faulty equipment, head numbers and saddle pads;

(d) be responsible for the equipment inspector who shall keep a record of the equipment and harness worn by every horse racing at the meeting. The equipment and harness worn by every horse shall be checked in the paddock against such records before each race. All changes from the previous race shall be noted and the judges notified if a written authorization on the prescribed form is not presented for any change of equipment;

(e) deleted

(f) check horses and drivers in and out;

(g) direct the activities of the paddock blacksmith;

(h) immediately notify the judges of anything that could in any way change, delay or otherwise affect the racing program;

(i) see that only properly authorized persons are permitted in the paddock;

(j) supervise the identification of horses in the race which shall include verifying the tattoo or freeze brand number;

(k) inspect and supervise the maintenance of all emergency equipment kept in the paddock;

(l) notify the judges of the reason for any horse returning to the paddock after having entered the track for the post parade and before the start of the race;

(m) notify the judges of all drivers, trainers and grooms who leave the paddock in an emergency;

(n) supervise and maintain cleanliness of the paddock;

(o) supervise the conduct of all participants in the paddock and report any rule violations or abuses to the judges;

(p) report any observed cruelty to a horse;

(q) submit all paddock reports and attendance records to the judges on request. Such reports and records must be retained for **twenty four months**; and

(r) ensure that all entrances and exits to the race track are closed before the starter calls the field to the post.

Proposed by: Security Committee

Reason for Change: To be consistent with restricted area definition

Old Rule 32.02

A record shall be maintained indicating the names and times of entry and departure of all persons admitted to the paddock. The persons entitled to admission to the paddock area are:

- (a) owners, lessees, trainers, drivers or grooms of horses which have been summoned to the paddock;
- (b) officials whose duties require their presence in the paddock; and
- (c) other persons authorized by the judges or the Commission.

Proposed New Rule 32.02

An association shall maintain a record indicating the names and times of all persons entering the paddock.

The persons entitled to enter the paddock area are:

- (a) owners, lessees, trainers, drivers/grooms of horses which have been summoned to the paddock;
- (b) officials whose duties require their presence in the paddock; and
- (c) other persons authorized by the Judges/Paddock Judge or the Commission.
- (d) These records shall be maintained by the Association for a period of 24 months.**

Proposed by: Security Committee

Reason for Change: To be consistent with restricted area definition

Old Rule 32.04

No more than two members of a registered stable, in addition to the trainer, driver and groom shall be entitled to admission to the paddock on any racing day, except by permission of the judges.

Proposed New Rule 32.04

No more than two owners or members of a registered stable, in addition to the trainer, driver and groom shall be entitled to admission to the paddock on any racing day, except by permission of the Paddock Judge, Judges **or Commission.**

Proposed by: Security Committee

Reason for Change: To be consistent with restricted area definition

Old Rule 32.07

The paddock is a secure area for the period beginning two hours before post time and ending one hour after the last race. After a horse has entered the paddock, parenteral or oral medication of that horse is strictly prohibited whether the medication is on the prohibited list or not.

Proposed New Rule 32.07

No person shall administer any parenteral or oral medication within the restricted area to any horse, on the date they are entered to race or qualify, whether the medication is on the prohibited list or not, unless in an emergency and under the care or supervision of an Official Veterinarian.

Proposed by: Security Committee

Reason for Change: To be consistent with restricted area definition

New Pole for Start of Actual Races

The great majority of starting infractions occur just before the word "Go" is given. Midway between the start pole and the fair start pole a pre-start pole would be beneficial to harness racing. This new pre-start pole and area to the start pole would now become part of the race.

Any infractions occurring in this area will now be considered as part of the official race. By doing so, this will mean less refunds due to the fact that 90% of the refunds occur in this area.

Drivers will be more aggressive after they are post this pre-start pole because they know that there will be no recall.

CHAPTER 34

CHART MAKER

Old Rule 34.01

An association shall appoint at least one chart maker who shall be responsible for properly and accurately completing the official chart by doing the following:

Proposed Rule 34.01

An association shall appoint at least one chart maker **who has been accredited by Standardbred Canada**. The chart maker shall be responsible for properly and accurately completing the official chart by doing the following:

Proposed by: Security Committee

Reason for Change: To be consistent with restricted area definition

